



TAG-3.2.001/3658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor : Alan SHAPIRO
Assignee : The Transaction Auditing Group, Inc.
Serial No. : 09/758,777
Filed : January 11, 2001
For : PREDICTIVE AUTOMATED ROUTING SYSTEM
(PARS) FOR SECURITIES TRADING
Art Unit: : 2164
Examiner : To be assigned
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Dear Sir:

SUBMISSION OF FORMAL DRAWINGS

The above application was filed on January 11, 2001 with informal drawings.
Enclosed are six (6) sheets of Formal Drawings (containing Figs. 1-6). Kindly substitute

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: BOX RCE, NO FEE - Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below:

Rosemarie Medina
Name

Rosemarie Medina
Signature

January 10, 2002
Date

the enclosed drawings for those currently in the application.

Respectfully submitted,

By: 

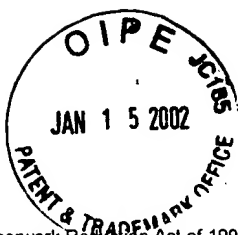
Robert J. Hess
Attorney of Record
USPTO Reg 32,139

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Dated: January 10, 2002

Enclosures: 6 Sheets of formal drawings

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/758,777	
	Filing Date	01/11/2001	
	First Named Inventor	Alan SHAPIRO	
	Group Art Unit	2164	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	9	Attorney Docket Number	TAG-3.2.001/3658

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Submission of Formal Drawings
Remarks		RECEIVED JAN 22 2002 Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert J. Hess (Reg 32,139) COBRIN & GITTES
Signature	
Date	01/10/2002

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Typed or printed name		Rosemarie Medina	
Signature		Date	01/10/2002

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